



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 AUG -4 PM 3:35

In re Application No: 09/103,873
Applicants: Yoshihisa Nagano et al.
Filed: June 24, 1998
Title: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME
T.C./A.U.: 2815
Examiner: Jose R. Diaz
Docket No.: YAO-3950

OR



Patent No:
Issued:

REQUEST FOR REFUND

(Improper Charge of Deposit Account)

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I. REFUND REQUEST

This is a request for refund with respect to the charge to Deposit Account No. 18-0350 shown on the statement dated July 2004 for the above-identified ☒ application/☐ patent.



Accompanying this request is a copy of the monthly statement on which the referred error is listed.

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PATENT MAINTENANCE
DIVISION
YAO 3050

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II. FEES CHARGED FOR WHICH REFUND IS REQUESTED

		Amount of Refund Requested	
<input type="checkbox"/>	Filing Fee	\$	0
<input type="checkbox"/>	Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))	\$	0
AND/OR			
<input type="checkbox"/>	Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$	0
<input type="checkbox"/>	Extension of time - months	\$	0
<input checked="" type="checkbox"/>	Excess claims	\$	54
<input type="checkbox"/>	Issue Fee	\$	0
<input type="checkbox"/>	Petition Fee	\$	0
<input type="checkbox"/>	Patent Maintenance Fee - year	\$	0
<input type="checkbox"/>	Patent Maintenance Fee Surcharge	\$	0
<input type="checkbox"/>	Other (please explain)	\$	0
TOTAL REFUND REQUESTED		\$	54

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

All claim fees incurred during the prosecution of the above mentioned application have been paid for by check.

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IV. MANNER OF REFUND

Please make refund by:

- ☒ crediting Deposit Account No. 18-0350.
☐ refunding payment.

Respectfully submitted,

Daniel N. Calder

Daniel N. Calder, Reg. No. 27,424
Attorney for Applicants

DNC/lcf

☒ Attachment: Copy of the monthly statement on which the referred error is listed

Dated: July 26, 2004

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

LCF_I:\YAO\3950\REQUEST FOR REFUND.072604.DOC

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**United States
Patent and
Trademark Office**

DIVISION

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Deposit Account Statement**Requested Statement Month:**

July 2004

Deposit Account Number:

180350

Name:

RATNER AND PRESTIA

Attention:**Address:**

P O BOX 980

City:

VALLEY FORGE

State:

PA

Zip:

19482

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	1	09103873	YAO-3950	1202	\$54.00	\$12,014.07
07/01	248	78443929	LMK-101	7001	\$670.00	\$11,344.07
07/01	1008	76215589	ITFT-GWT102US	7004	\$150.00	\$11,194.07
07/01	1012	76190891	ITFT-GWT103US	7004	\$150.00	\$11,044.07
07/01	1026	2161726	ANC-D170US	7205	\$100.00	\$10,944.07
07/01	1027	2161726	ANC-D170US	7208	\$200.00	\$10,744.07
07/01	1028	2161726	ANC-D170US	7206	\$100.00	\$10,644.07
07/01	1041	2176605	ANC-D380US	7205	\$100.00	\$10,544.07
07/01	1042	2176605	ANC-D380US	7208	\$200.00	\$10,344.07
07/02	130	10878240	JMYT-241US	1001	\$770.00	\$9,574.07
07/02	131	10878240	JMYT-241US	1202	\$144.00	\$9,430.07
07/02	424	10317564	RPI-115US	8021	\$40.00	\$9,390.07
07/06	5	PAYMENT		9203	-\$5,000.00	\$14,390.07
07/06	39	PAYMENT		9203	-\$3,000.00	\$17,390.07
07/06	290	10389422	MATI-229US	8007	\$20.00	\$17,370.07
07/06	292	10288645	MATI-219US	8007	\$20.00	\$17,350.07
07/06	294	10282648	MATI-216US	8007	\$20.00	\$17,330.07
07/06	295	10308328	MATI-220US	8007	\$20.00	\$17,310.07
07/07	221	2254101	EPP-101US	7205	\$100.00	\$17,210.07
07/07	222	2254101	EPP-101US	7208	\$200.00	\$17,010.07
07/09	1	10253757	AOY-3957US	1201	\$258.00	\$16,752.07
07/09	7	10789507	MATI-227US	8021	\$40.00	\$16,712.07
07/09	291	78447829	TCRF-100	7001	\$670.00	\$16,042.07
07/09	393	78447889	TCRF-101	7001	\$670.00	\$15,372.07
07/12	6	10087891	KPG-5041US	8001	\$30.00	\$15,342.07
07/12	9	10370385	KPG-5076US	8001	\$30.00	\$15,312.07
07/12	90	76074573	ITDE-AES117US	7003	\$100.00	\$15,212.07
07/12	232	10615665	KPG-5081US	8007	\$20.00	\$15,192.07

07/13 16	10606606	MKPA-104US	1201	\$172.00	\$15,020.07
07/13 275	10789506		8021	\$40.00	\$14,980.07
07/14 32	2173886	LPI-135US	8521	\$40.00	\$14,940.07
07/14 143	10639302	K&S-124US	8007	\$40.00	\$14,900.07
07/14 391	0147860537	MATB-396US	8014	\$25.00	\$14,875.07
07/14 492	78174546	PMR-103	7004	\$150.00	\$14,725.07
07/15 2	76423971	PQC-378US	6005	\$100.00	\$14,625.07
07/15 3	76423971	PQC-378US	6004	\$150.00	\$14,475.07
07/15 262	2173886	LPI-135US	7205	\$100.00	\$14,375.07
07/15 263	2173886	LPI-135US	7208	\$200.00	\$14,175.07
07/15 357	2173658	AMT-810	7205	\$100.00	\$14,075.07
07/16 5	PCT/US04/17597		9204	-\$700.00	\$14,775.07
07/16 149	75604061	LPC-330	7004	\$150.00	\$14,825.07
07/19 240	2189316	WCS-100	7205	\$100.00	\$14,525.07
07/19 241	2189316	WCS-100	7208	\$200.00	\$14,325.07
07/19 343	78452146	HCL-030	7001	\$335.00	\$13,990.07
07/19 1101	76331555	ITDE-AES110US	7003	\$100.00	\$13,890.07
07/21 129	PCT/US01/42156	SCO-101EP1	8007	\$20.00	\$13,870.07
07/22 228	2178265	ITFT-BG175US	7205	\$100.00	\$13,770.07
07/22 229	2178265	ITFT-BG175US	7208	\$200.00	\$13,570.07
07/22 245	78454265	ITFT-BG288US	7001	\$335.00	\$13,235.07

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$12,068.07	\$7,533.00	\$8,700.00	\$13,235.07

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PATENT FINANCE
DIVISION



Dep & Ref #307
PTO/SB/01-04 (AW, 08/2004)
Approved for use through 7/31/2004. PTO/SB/01-0431

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/103,873
	Filing Date	June 24, 1998
	First Named Inventor	Yoshihisa Nagano
	Art Unit	2815
	Examiner Name	Jose R. Diaz
Total Number of Pages in This Submission	Attorney Docket No.	YAO-3860

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ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Deposit Account Statement (July 2004); Postcard
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT		
Firm or Individual Name	Daniel N. Calder	Registration No. (Attorney/Agent)
Signature	<i>Daniel N. Calder</i>	
Date	July 26, 2004	

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Lorraine C. Fox	Date	July 26, 2004
Signature	<i>Lorraine C. Fox</i>		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 110

Complete if Known

Application Number 09/103,873
Filing Date June 24, 1998
First Named Inventor Yoshitaka Nagano
Examiner Name Jose R. Diaz
Art Unit 2815
Attorney Docket No. YAO-3950

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account (use as backup only):

Deposit
Account
Number

18-0350

Deposit
Account
Name

RatherPrexia

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below
☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(5) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20	0	0	0
Independent Claims	0	0	0
Multiple Dependent	0	0	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	43	Independent claims in excess of 3
1203	280	2203	145	Multiple dependent claim, if not paid
1204	88	2204	43	-- Reissue independent claims over original patent
1205	18	2205	9	-- Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(5) 0

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	540	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Sheet	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(5) 110

17/01/2004

11-FC-1202

SUBMITTED BY

Name (Print/Type)	Daniel N. Calder	Registration No. Attorney/Agent	27,424	Telephone	810/407-0700
Signature	<i>Daniel N. Calder</i>	Date	June 11, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Adjustment date: 09/07/2004 EEKUBAY1
07/01/2004 PBRITTON 00000001 180358 09103873
01 FC:1202 54.00 CR